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MTIPAT.140A (formerly MNFRAME.032A)

PATENT

**ALERT CONFIGURATOR AND MANAGER****Related Applications**

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The subject matter of U.S. Patent Application entitled "Managing Computer System Alerts," filed on October 1, 1997, Application No. 08/943,356, and having attorney Docket No. MTIPAT.141A (formerly MNFRAME.033A) <sup>Now Pending</sup> is related to this application.

**Priority Claim**

The benefit under 35 U.S.C. § 119(e) of the following U.S. provisional application is hereby claimed:

Title	Application No.	Filing Date
"High Performance Network Server System Management Interface"	60/046,310	May 13, 1997

Appendices

Appendix A, which forms a part of this disclosure, is a list of commonly owned copending U.S. patent applications or patents. Each one of the applications or patents listed in Appendix A is hereby incorporated herein in its entirety by reference thereto.

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Field of the Invention

The present invention relates to computer networks and their management systems. Specifically, the present invention relates to an apparatus for configuring, managing, or displaying the operating conditions in a computer network.

### Background of the Invention

#### SNMP Manager and SNMP Agent

Computer network management systems use a standardized communication protocol to facilitate communication between devices (computers, printers, peripherals) on the network. The standardized communication protocol discussed with this invention is known as the Simple Network Management Protocol (SNMP). SNMP is explained in more detail in *The Simple Book* by Marshall T. Rose, 2d ed, Prentice-Hall, Inc., 1994, which is hereby incorporated herein by reference. The SNMP acts as a mechanism to provide and transport management information between network components. SNMP is recognized as an industry standard for network management. Whenever a program at the user side sends a request to a program at the server site and waits for a response, the requesting program is called the 'client' and the responding program is called the 'server.' In network server management systems, the user (usually a network administrator) uses a software module known as a SNMP manager to monitor and manage the server or servers in a network. The SNMP manager sends commands to and receives information from a software module called a SNMP agent, which directly monitors and controls the server through device drivers and other components. The SNMP manager and the SNMP agent can be on the same work station, or the SNMP manager can be at a remote location.

SNMP uses a transport protocol stack such as User Datagram Protocol/Internet Protocol (UDP/IP) or Transmission Control Protocol/Internet Protocol (TCP/IP). UDP/IP provides connectionless communication over user Internet Protocol services. It is part of the TCP/IP suite. UDP/IP operates at the transport layer, and in contrast to TCP/IP, does not guarantee the delivery of data. TCP/IP is standard Internet protocol (or set of protocols) which specifies how two computers exchange data over the Internet. TCP/IP handles issues such as packetization, packet addressing, handshaking and error correction. For more information on TCP/IP, see Volumes I, II and III of Comer and Stevens, *Internetworking with TCP/IP*, Prentice Hall, Inc., ISBNs 0-13-468505-9 (vol. I), 0-13-125527-4 (vol. II), and 0-13-474222-2 (vol. III).

Upon receiving a data request by a user, the SNMP manager opens one or more SNMP sessions and formulates a proper information request for SNMP agent. The SNMP manager is the 'client' and the SNMP agent is the 'server.' The SNMP manager may be generic or specifically designed for the particular server type.

Typically, the SNMP manager has several parts, each performing a different function. But these parts are related and work together to accomplish certain tasks. One of these tasks may be to display malfunctions and environment changes in the server.

#### Prior inventions and deficiencies

The SNMP agent may detect a malfunction or an environment change in the server and send a warning message to the SNMP manager. Some network server managers receive and display a warning message (an alert) associated with every malfunction and environment change on the server that the agent detects. This allows the user to take further action if needed, such as to shut the server down and replace components.

However, time is critical for many server manager applications. A network administrator may not need to be informed of all alerts generated by a server. Displaying every alert disrupts the network administrator's present task. This can be a major nuisance if the same alert is continuously sent by the SNMP agent for a minor environment change.

Displaying every alert also takes up valuable time for the network administrator to investigate what the alert is because the displayed alert may not be readily apparent to the user. For example, in some server management applications, an icon starts flashing at the top right hand corner signifying an alert. The user clicks on the icon, pulls down a menu item or opens an object to view a description of the alert. Often, the description fails to inform the user of what the exact problem is or how to remedy the situation. The user then needs to then refer to a support manual or ask a more experienced user.

Furthermore, by sending, receiving, and displaying all alerts, the server manager is taking up valuable bandwidth on the network. This increases the amount of traffic already on the network and decreases the performance of each computer. It also

increases bottlenecking and system failures. A major goal in the computer network industry today is to reduce the amount and size of traffic on the network.

If there is more than one server in the network, the problem is compounded. Sending every malfunction and environment alert can overwhelm the system and its network administrator.

For example, an airline or bank may have several servers where timing, number of transactions, and size of transactions are different for each server. An airline may use one server for managing ticket sales, one server to handle frequent flyer transactions, and another server to handle arriving flight information. Each server may have its own type of network components, response times, and backup systems capable of handling malfunctions or environment changes. One type of alert on the airline's server handling arrival times may demand immediate attention. On the other hand, the same type of alert generated by a server handling frequent flyer mileage may not require immediate attention.

#### Summary of the Invention

The present invention provides an apparatus for monitoring alerts regarding the status of components in a computer. In one embodiment of the invention, this apparatus comprises at least one processor, which is configured to receive a plurality of alerts. These alerts may provide status information about different components in a computer. The apparatus may further comprise an alert module executing in the processor. The alert module may be configured to selectively disable the display of one or more of the status notifications. The alert module may be further configured to record status information associated with the disabled status notifications in a storage medium.

#### Brief Description of the Drawings

These and other aspects, advantages, and novel features of the one embodiment of the invention will become apparent upon reading the following detailed description and upon reference to the accompanying drawings in which:

Figure 1 illustrates a high level architectural overview of a network server management system in accordance with one embodiment of the invention.

Figure 2 illustrates a module-level architecture in accordance with one embodiment of the invention.

Figure 3 illustrates the window where the user may access the alert manager in a server manager system in one embodiment of the claimed invention.

Figure 4A illustrates one embodiment of the alert manager window.

Figure 4B lists the description of each alert type in one embodiment of the invention that appears in the alert manager window and the alert notification window. Figure 5 illustrates one embodiment of an alert notification window when an alert is received by the server manager.

Figure 6 illustrates one embodiment of a log window.

Figure 7 illustrates the sequence of acts that may occur when the user starts the main application in one embodiment of the present invention.

Figure 8 illustrates one module-level process of how the network map window is created in one embodiment of the present invention.

Figure 9 illustrates one sequence of acts that occur when the user configures a list of alerts or deletes an alert for a particular server(s).

Figure 10 illustrates the contents of the Alert Manager Module in one embodiment of the invention.

Figure 11 illustrates one module-level process of how an alert is generated and handled by the alert configurator.

Figure 12 illustrates one sequence of acts that occur when the user opens the Log Window.

#### Detailed Description of the Invention

Some alerts (also known as traps) regarding component malfunctions and environment changes in the server may have a higher priority than others because the network administrator (user) may need to take appropriate action immediately. These high priority alerts may be essential to avoid network disruption or further system damage. Other alerts have a lower priority, which do not require immediate attention.

For these alerts, the network administrator may be able to postpone investigation or repair for a more convenient time. Sometimes, the alert may not need investigation at all.

One embodiment of the invention allows the network administrator to configure, manage, and display certain alerts for a network server or a number of network servers. Specifically, the network administrator can enable or disable one or more future alert notifications.

For example, a typical alert may occur when a temperature sensor in the server rises above a predetermined level. If the network administrator does not wish to view temperature alerts, he or she can delete or disable all future notifications of temperature alerts. The network administrator can also enable future temperature alerts for one server and disable it for all other servers, or any combination the administrator chooses.

One embodiment of the invention also creates an entry in a log file of each component malfunction or environment change detected in the server. This log file may be stored in a storage medium such as the computer memory which may include random access memory, volatile memory, non-volatile memory, a hard disk, magnetic memory, optical memory, CD ROM, digital versatile disks and the like. Each log entry may contain the number of the alert, the date of the alert, the time of the alert, the source of the alert, the category of alert, a description of the alert, and details of the alert. The details may contain a recommended course of action for the user. One embodiment of the invention can create these log entries even if the user has disabled the display of the alert. Thus, the user can view the alert log file and keep track of each type of alert and when they occurred.

#### Architectural Overview

Figure 1 illustrates a high level architectural overview of a network server management system in accordance with one embodiment of the invention. In one embodiment of the present invention, the alert configurator and manager, hereinafter alert configurator, application is contained in a software module called Maestro Central 107 manufactured by NetFRAME Systems Incorporated of Milpitas, CA.

Maestro Central 107 may be used in a Microsoft Windows environment. Maestro Central 107 sends instructions to the SNMP manager 108.

In one embodiment of the invention, the client and server computers 102 and 136 are on multi-processor Pentium Pro-based computers having 256 megabytes or more of RAM. It will be apparent to those of ordinary skill in the art, however, that the computers 102 and 136 may be any conventional general purpose single- or multi-chip microprocessor such as a Pentium processor, a Pentium Pro processor, a 8051 processor, a MIPS processor, a Power PC processor, an ALPHA processor, etc. In addition, the computers 102 and 136 may be any conventional special purpose microprocessor such as a digital signal processor or a graphics processor.

At the user (or client) side, the SNMP manager 108 displays data to the user through a communication layer that organizes the data into data structures. The display device at the user station 102 in Figure 1 may be liquid crystal device, cathode ray tube (CRT) or other suitable display device. When the SNMP manager 108 receives a command from the user, it calls a standard Windows SNMP Library of objects 112, which sends messages using an SNMP protocol stack 114, such as UDP/IP, to the SNMP agent 128 via a network of drivers 116, adapters 118, and network medium 120.

At the server side, the SNMP agent 128 retrieves information regarding malfunctions or certain environment conditions detected in the server 136. If there is more than one server in the network, then there is preferably an SNMP agent 128 associated with each server.

In one embodiment of the present invention, the server 136 is an NF9008 (also known as NF9000-T) manufactured by NetFRAME Systems Incorporated of Milpitas, CA. The NF9008 series are fault-tolerant, standards-based servers, which have multiple peripheral component interconnect (PCI) card slots for one or more adapters. In another embodiment of the present invention, the server 136 is an NF9016 (also known as an NF9000-C), which has multiple canisters or fault isolation units (FIU). These canisters are boxes which may each contain more than one PCI adaptor card slots. Multiple card slots and multiple canisters allow the user to remove or add adapters to the server while the server and operating system continue to run.

In one embodiment of the present invention, the SNMP agent 128 retrieves information from device drivers 124 and a self-contained network of distributed service microprocessors called Intrapulse 122. Intrapulse 122 is manufactured by NetFRAME Systems Incorporated of Milpitas, CA. This self-contained network continuously monitors and manages the physical environment of the server, regardless of the operational status of the server (a component of the server may be malfunctioning). Malfunctions and environment conditions may include temperature, fan speed, voltage levels, and power supplies. The SNMP agent 128 also sends messages to the SNMP manager 108 via a network of drivers 132, adapters 134, and network medium 120.

#### Overview of Module-level structure and Description of Modules

An 'object' as used here and in object-oriented programming is a variable that may comprise both routines (methods) and data. An object is treated as a discrete entity and may have its own address. Some objects, may only contain data and no routines.

An 'alert' as used in this description refers to the definition and description of status messages, the format of status messages, the content of status messages, the generated status messages, the received status messages, and the operational properties of different components.

A 'class' as used here is a blueprint of an object. From a class with specified properties, methods, and functions, the application can create objects with those same properties, methods, and functions. Once the object is created, the application can modify the properties of the object and the data in the object. An application can use multiple objects of the same class. A class may also be used to describe a group of objects sharing the same properties, etc.

Objects and classes are explained in more detail in Object Programming with Visual Basic4 by Joel P. Dehlin and Matthew J. Curland, Microsoft Press, 1996, and Computer Dictionary by collective authors, Microsoft Press, 1991, which are incorporated in its entirety by reference.

In the following description of one embodiment of the invention, a 'module' includes, but is not limited to, software or hardware components which perform certain tasks. Thus, a module may include object-oriented software components, class



components, procedures, subroutines, data structures, segments of program code, drivers, firmware, microcode, circuitry, data, data structures, tables, arrays, etc. In addition, those with ordinary skill in the art will recognize that a module can be implemented using a wide variety of different software and hardware techniques. A module may also mean a published report by a group of experts defining Management Information Base (MIB) objects for a particular area of technology. RFC 1213, *Management Information Base for Network Management of TCP/IP-based Internets: MIB-II*, contains a module defining the basic objects needed to manage a TCP-IP network.

Figure 2 illustrates a module-level architecture in accordance with one embodiment of the invention. The "start application" block 200 is the first step where all modules and dialog boxes used for one embodiment the present invention are created. In one embodiment of the invention, this application is a C++ class file called "maestro2.ccp."

The CMain Frame Class 210 creates all the windows and graphical user interfaces used in one embodiment of the present invention. This is also known as the Microsoft Foundation Class (MFC) Document/View Architecture. The Document Class 226 stores the data about the application in data structures. The View Class 228 displays to the user a representation of the data kept in the Document class which are defined by Microsoft Corporation. The use of these classes is explained in more detail in *Inside OLE*, 2d edition, 1995 by Kraig Brockschmidt (p. 720, 814), which is hereby incorporated herein in its entirety by reference.

The Network Map Window Module 212 may perform a number of functions in addition to displaying the Network Map Window 302 as shown in Figure 3. The Network Map Window Module 212 may also display each server 136 in the network as an icon in the Network Map Window 302, display each server in the Alert Manager Window 400 (Figure 4A), and create a list of alerts for each server 136. The Network Map Window Module 212 may call the EnumServer Module 208 to discover the names and number of servers in the network. The Network Map Window Module 212 may also call the SNMP Module 204 to obtain the list of servers and their alerts in the Alert Manager Table 1002 (Figure 10) of the Alert Manager Module 202. This list of

alerts is called a Server Alert Module 1004. Each server 136 has a Server Alert Module 1004. If there is more than one server 136, then there is more than one Server Alert Module 1004 in the Alert Manager Table 1002.

The EnumServer Module 208 stores information, in the memory of the microprocessor 102. The EnumServer Module 208 is preferably a local module, but it is global in the sense that it is accessible from anywhere in the system. This EnumServer Module 208 identifies the number of servers in the system. For example, if there are multiple servers, the EnumServer Module 208 acts as a repository of server information.

The SNMP Module 204 is a class that encapsulates all the SNMP functions used by one embodiment of the present invention, such as "GET," "GET NEXT," and "SET." The GET function is typically used by the SNMP agent 128 to retrieve non-table SNMP MIB data from the server 136 in response to a request by the SNMP manager 108. The GET NEXT function is used to retrieve more than one MIB variable, such as a table of variables. Often, a loop is created with GET NEXT until all values are retrieved. The SET function is used by the SNMP agent 128 to change the value of a MIB variable.

In general, a MIB defines the aspects of a system and/or components in the system, such as a disk drive or a memory module. The MIB may contain numeric identifiers which tell system components how to access each variable. In one embodiment of the invention, the MIB 110 contains a hierarchal collection of variables related to the hardware and software components of the server. Using the MIB variables, the SNMP manager 108 (more specifically, the SNMP Module 204) creates an information request which is sent to the SNMP agent 128.

MIB variables are known by those with skill in the art. For example, U.S. Patent No. 5,471,617 entitled COMPUTER MANAGEMENT SYSTEM AND ASSOCIATED MANAGEMENT INFORMATION BASE issued to Farrand et al. which is hereby incorporated herein in its entirety, describes the operation of a basic MIB in detail.

The SNMP Module 204 also receives alerts from the server 136. The SNMP Module 204 passes this information to the Alert Manager Module 202 via the SNMP Window Module 206.

The SNMP Window Module 206 is used to pass messages among applications. The SNMP Window Module 206 allows an application, such as an alert configurator, to communicate with the SNMP itself. The use and operation of an SNMP Window Module 206 is well known to those of ordinary skill in the art.

The Alert Manager Module 202 performs a number of functions: it creates the alert types, registers the alert types for each server in the network, stores information regarding each server's user-selected alerts in an Alert Manager Table 1002 shown in Figure 10, and temporarily stores the data related to an incoming alert. This data related to an incoming alert may be displayed in an alert notification window and/or sent to a log file. In one embodiment of the invention, the alert notification window is called the Alert Notification Window 500, and the log file is managed by a Log Manager Module 224 and a Log Window Entries Module 220.

The Log Window Entries Module 220 receives information about each detected alert from the Alert Manager Module 202 and adds the entries to a table in the Log Manager Module 224. The Log Manager Module 224 keeps a list of Log Window Modules, which are entries to be shown in the Log Window 600 (Figure 6). The Log Manager Module 224 uses a Log Manager Window Module 222 to display the list of alert entries which may include server name, alert type, the time and date of the alert, their descriptions, and details.

#### Start Application

Figure 7 shows the start application process in one embodiment of the present invention. In Figure 7, the alert configurator and manager can be accessed after the user starts an application called "maestro2.ccp" (herein referred to as "Maestro" 200) a C++ class file, as shown in block 702.

Maestro 200 calls standard Microsoft initialization modules in a block 704 to perform standard housekeeping functions in a block 708. In a block 710 Maestro 200 also calls or initializes a standard dynamic link library (DLL) such as the Windows

SNMP (WinSNMP) DLL (WinSNMP Library) manufactured by American Computer Electronic Corporation. The WinSNMP Library is used to do SNMP transactions while the application Maestro is running. DLLs execute under the Microsoft Windows NT or Windows 95 operating systems.

Maestro 200 also creates (1) a Microsoft Foundation Class Document/View Architecture (MFC Doc/View Architecture) 210 shown in block 720; (2) an SNMP Module 204 shown in block 714; (3) an EnumServer Module 208 shown in block 716; and (4) an Alert Manager Module 202 shown in block 722. Each of these modules are further explained in detail below.

The MFC Doc/View Architecture shown in block 720 creates all the windows and graphical user interfaces used in one embodiment of the present invention. This is illustrated in Figure 8. Specifically, the WinSNMP Library creates the CMain Frame Class 210, the Document Class 226, and the View Class 228 in blocks 800, 802, and 804. As described above, the Document Class 226 keeps the data about the application, and the View Class 228 displays to the user a representation of the data kept in the Document Class 226. The Main Frame Class 210 creates the Alert Manager Window 400, the Alert Notification Window 500, and the Log Window 600.

As shown in block 806, the CMainFrame Class 210 also calls the Network Map Window Module 212 to display the Network Map Window 302. The Network Map Window Module 212 calls the EnumServer Module 208 in a block 810 to discover the number of servers in the network and the names of each server 136 in a block 808. The EnumServer Module 208 then adds a server icon and server name to the Network Map Window 302 for each server 136 found in a block 814. The EnumServer Module 208 also adds the names of the servers found in a block 814 to the Alert Manager Table 1004 inside the Alert Manager Module 202 in blocks 818 - 820.

For each server 136 found, the Network Map Window Module 212 calls the Alert Manager Module 202 to create and store a list of each server's alerts in the Alert Manager Table 1002 of the Alert Manager Module 202. This is shown in blocks 818 and 820. For each alert, there is a textual description, detail, and notify/do not notify status. This list of alerts for each server is called a Server Alert Module 214. Each server preferably has its own Server Alert Module 214. The Server Alert Modules 214

are stored within the Alert Manager Table 1002 (Figure 10) which is stored within the Alert Manager Module 202. In other words, if there are two servers, the Alert Manager Table 1002 contains two Server Alert Modules 214.

The Maestro 200 also creates the SNMP Module 204 in block 714. And the SNMP Module 204 creates the SNMP Window Module 206 as shown in block 718. In one embodiment of the invention, the SNMP Window Module 206 interacts with the WinSNMP Library to pass messages between applications. The WinSNMP Library uses a window while transacting SNMP operations. The Maestro application may use a hidden window which is not visible on the user's desktop while the application is running. The SNMP Window Module 206 allows an application, such as an alert configurator, to communicate with the SNMP itself.

Maestro 200 also creates the EnumServer Module 208 shown in block 716. The EnumServer Module 208 is empty at this point, but it is made global for future access from anywhere in the system. When the application is running, there may be certain information that is constantly extrapolated by different parts of the system. For example, there may be multiple servers that use the same or similar data. This information is stored locally in a central location such as the EnumServer Module 208. It acts as a repository. In one embodiment of the present invention, the EnumServer Module 208 discovers the names and number of NetFRAME servers on the network and stores this information in an EnumServer Module list as shown in blocks 726 and 732. The EnumServer Module 208 may also add the names of the servers found to the Alert Manager Table 1004 (Figure 10).

Maestro 200 also creates the Alert Manager Module 202 as shown in block 722. The Alert Manager Module 202 performs a number of functions: it creates the alert types; registers the alert types for each server 136 in the network; stores information regarding each server's user-selected alerts in an Alert Manager Table 1002 shown in Figure 10; calls the Alert Manager Dialog Module 216 to display the Alert Manager Window 400 (Figure 4A) and loads information regarding each server and its alerts as shown in block 724 and block 730; temporarily stores the data related to an incoming alert; and calls the Alert Flash Dialog Module 218 to display an alert notification (Figure 5). The Alert Manager Table 1002 keeps track of (1) the names of each server

136, (2) the alerts associated with each server 136, and (3) the notify/do not notify status of each alert for each server 136.

After the user starts the Maestro application 200, the user can access the alert configurator and manager software application from the Network Map Window 302 in Figure 3. The user clicks and pulls down the "Window" menu 304 and selects the "Alert Window" item 308. When "Alert Window" 308 is selected, the SNMP manager 108 displays an "Alert Manager" Window 400 as shown in Figure 4A.

#### Alert Types in One Embodiment of the Invention

In one embodiment of the present invention, there are eight alert types that may be generated by an SNMP agent 128 in connection with a fault-tolerant server such as the NetFRAME NF9000. These eight alerts are defined in the NF9000 server's customized MIB 110 (Figure 1). It will be apparent to those of ordinary skill in the art, however, that other alerts related to a server may be used in a server management system.

In one embodiment of the present invention, the first alert type is identified by the MIB variable "trapCpu" and assigned the identifier 1.3.6.1.4.1.837.2.1.1 in the server's MIB 110. This alert type reports the number of a CPU (cpuNumber) that failed because of high temperature and/or low power. When trapCpu is sent from the SNMP agent 128 to the SNMP manager 108, the information stored in the trapCpu variable itself is actually the value of the MIB variable "cpuNumber" for that particular CPU. The MIB variable cpuNumber is used here to identify the number of the CPU that failed.

For example, for CPU number 2 in the server, the value of variable "cpuNumber" is equal to 2. When CPU number 2 fails, the SNMP agent 128 sends a "trapCpu" message to the SNMP manager 108. Within that "trapCpu" variable is the value of the "cpuNumber" which is equal to 2. This number can be used by the SNMP Module 204 to index a cpuTable and retrieve more information on the failed CPU.

The second alert type is identified by the MIB variable "trapSystemBoardFan" and assigned the identifier 1.3.6.1.4.1.837.2.1.2 in the server's MIB 110. This alert type reports the number of a failed system board fan (coolingFanNumber). A fan 'fails'

when the speed of that fan drops below a predetermined minimum speed in the MIB variable "coolingFanMinSpeed." This variable can be set/modified by the user. When trapSystemBoardFan is sent from the SNMP agent 128 to the SNMP manager 108, the information stored in the trapSystemBoardFan variable itself is actually the value of the MIB variable "coolingFanNumber" for that particular fan. The MIB variable coolingFanNumber is used here to identify the number of the fan that failed. This number can be used by the SNMP Module 204 to index a coolingFanTable and retrieve more information on the failed fan.

The third alert type is identified by the MIB variable "trapTemperature" and assigned the identifier 1.3.6.1.4.1.837.2.1.3 in the server's MIB 110. This alert type reports the number of a temperature sensor (coolingSensorNumber) that detected a "normal" to "warning" transition. More specifically, the temperature sensor detected a temperature above the "warning" level defined by the MIB variable "coolingAlertTemperature." This variable can be set/modified by the user. When trapTemperature is sent from the SNMP agent 128 to the SNMP manager 108, the information stored in the trapTemperature variable itself is actually the value of the MIB variable coolingSensorNumber for that particular temperature sensor. The MIB variable coolingSensorNumber is used here to identify the number of the temperature sensor that detected a temperature above the "warning" level. This number can be used by the SNMP Module 204 to index a coolingTemperatureSensorTable and retrieve more information on the temperature sensor.

The fourth alert type is identified by the MIB variable "trapPowerSupply" and assigned the identifier 1.3.6.1.4.1.837.2.1.4 in the server's MIB 110. This alert type reports the number of a power supply (powerSupplyNumber) that has detected one of four possible conditions: (1) power supply has been extracted; (2) power supply has been inserted; (3) an AC failure meaning the AC state of the power supply is out of tolerance range; or (4) a DC failure meaning the DC state of the power supply is out of tolerance range. In one embodiment of the invention, the server is a NF9008. For an NF9008, AC state information and insertion/extraction events are not available, but a change in DC state may indicate a failure or power supply insertion/extraction.

When trapPowerSupply is sent from the SNMP agent 128 to the SNMP manager 108, the information stored in the trapPowerSupply variable itself is actually the value of the MIB variable "powerSupplyNumber" for that particular power supply. The MIB variable powerSupplyNumber is used here to identify the number of the power supply that failed. This number can be used by the SNMP Module 204 to index a powerSupplyTable and retrieve more information on the power supply.

The fifth alert type is identified by the MIB variable "trapCanister" and assigned the identifier 1.3.6.1.4.1.837.2.1.5 in the server's MIB 110. This alert type reports the name of the canister (canisterName) that has been extracted or inserted. This alert type is not available for the NF9008 because the NF9008 does not have any canisters. When trapCanister is sent from the SNMP agent 128 to the SNMP manager 108, the information stored in the trapCanister variable itself is actually the value of the MIB variable "canisterName" for that particular canister. The MIB variable canisterName is used here to identify the name of the canister that has been extracted or inserted. This name can be used by the SNMP Module 204 to index a canisterTable and retrieve more information on the extracted/inserted canister.

The sixth alert type is identified by the MIB variable "trapAdapter" and assigned the identifier 1.3.6.1.4.1.837.2.1.6 in the server's MIB 110. This alert type reports the number of an adapter (adapterName) or its driver that malfunctioned. When trapAdapter is sent from the SNMP agent 128 to the SNMP manager 108, the information stored in the trapAdapter variable itself is actually the value of the variable "adapterNumber" for that particular adapter. The MIB variable adapterNumber is used here to identify the number of the adapter or its driver that failed. This number can be used by the SNMP Module 204 to index an adapterTable and retrieve more information on the failed adapter or its driver.

The seventh alert type is identified by the variable "trapSlotFan" and assigned the identifier 1.3.6.1.4.1.837.2.1.7 in the server's MIB 110. This alert type reports the number of an I/O slot fan (slotFanNumber) that failed. A fan 'fails' when the speed of that fan drops below a predetermined minimum speed in the MIB variable "slotFanMinSpeed." This variable can be set/modified by the user. When trapSlotFan is sent from the SNMP agent 128 to the SNMP manager 108, the information stored in



the trapSlotFan variable itself is actually the value of the variable "slotFanNumber" for that particular slot fan. The MIB variable slotFanNumber is used here to identify the number of the slot fan that failed. This number can be used by the SNMP Module 204 to index a slotFanTable and retrieve more information on the failed fan.

The eighth alert type is identified by the variable "trapCanisterFan" and assigned the identifier 1.3.6.1.4.1.837.2.1.8 in the server's MIB 110. This alert type reports the name of the canister (canisterName) which has at least one fan operating below a predetermined minimum limit allowed. This predetermined minimum speed is defined by the MIB variable "canisterFanMinSpeed." This variable can be set/modified by the user. When trapCanisterFan is sent from the SNMP agent 128 to the SNMP manager 108, the information stored in the trapCanisterFan variable itself is actually the value of the variable "canisterNumber" for that particular canister. The MIB variable canisterNumber identifies the name of the canister with at least one failed fan. This name can be used by the SNMP Module 204 to index a canisterTable and retrieve more information on the failed fan.

The alert types are displayed in the Alert Manager Window 400 shown in Figure 4A. After each alert type, there is a brief description 412 of the alert type. The descriptions are listed in Figure 4B. In one embodiment of the invention, the text associated with each type of alert is hardcoded in the application itself, such as Maestro Central 107.

#### Adding/Deleting One or More Alert Notifications

Figure 9 shows the process for deleting or disabling one or more alert notifications for one or more servers. The process for adding an alert notification is similar to the process shown in Figure 9. In a block 900 of Figure 9, the user can open the Alert Manager Window 400 by clicking and pulling down the "Window" menu 304 and selecting the "Alert Window" item 308 (Figure 3). When "Alert Window" 308 is selected, the SNMP manager 108 calls the Alert Manager Dialog Module 216 (Figure 2) and displays an "Alert Manager" Window 400 as shown in Figure 4A.

In one embodiment of the present invention, the default mode for the SNMP manager 108 is to receive and display/notify the user of all alerts received from all

servers. To change the default mode, the user may delete the alert notification for one or more alerts and for one or more servers. This can be done from the Alert Manager Window 400 shown in Figure 4A. In a block 902, the user can select certain alert types to be deleted by clicking on the alert bell icon 414-428 (Figure 4A) to the left of each alert type. For example, the user can delete the Adapter Alert 414 and the Canister Alert 416 notifications for one server and delete the CPU Alert 418, the Fan Alert 422, and the Temperature Sensor Alert 428 notifications for another server. In other words, each server can have its own user-configured list of alerts.

When the Alert Manager Window 400 is first displayed, all alert types 410 are listed on the left side with a red bell icon 414 through 428 in one embodiment of the present invention. As shown in block 904, if the user clicks on any of the red bell icons associated with an alert type, that bell icon becomes yellow. For example, if the user wants to delete the Canister Alert 416 notification, the user would click on the red bell next to the Canister Alert 416. The red bell next to Canister Alert 416 turns yellow.

But in one embodiment the alert notification is not deleted immediately; the notification deletion preferably only occurs after the user clicks on the "Delete Notification" button 438 on the right side of the Alert Manager Window 400. Before the user clicks on the "Delete Notification" button 438, the user can click on other alert types to be deleted. After the user finishes selecting all the alert notifications to be deleted, the user clicks on the "Delete Notification" button 438. All alert notifications to be deleted are deleted together. Thus, the user can delete more than one alert notifications for one or all servers with a single command. This is shown in block 906. When the user is finished selecting alert types, the user clicks on the "Delete Notification" button 438 as shown in block 908. In blocks 910-912, the Alert Manager Dialog Module 216 calls the Alert Manager Module 202 (Figure 2), which finds the servers or servers selected by the user in the Alert Manager Server List. In blocks 914-916, the Alert Manager Module 202 deletes alert notification for the alerts designated by the user in the Alert Manager Table 1000 (Figure 10) and waits for the next user command.

The process is similar for adding one or more alert notifications for one or more servers. The process described above and shown in Figure 9 is the same except the

bell icon 414 through 428 turns from yellow to red, and the user clicks on the "Add Notification" button 436 instead of the "Delete Notification" button 438.

One embodiment of the present invention also allows the user to add or delete alert notifications for more than one server without reopening the Alert Manager Window 400. After the user selects the alert types to be deleted and clicks on the "Delete Notification" button 438, the Alert Manager Window 400 remains open on the user's desktop. The user can then go to the Server Name box 406 and view a list of servers in the network by clicking and pulling down the scroll-down button 408. The user can then select another server name from the list of servers. The name of this server appears in the Server Name box 406 and the Alert Manager Window 400 displays the alert configuration for this particular server. The user can then add or delete alert notifications for this second server following the steps shown in Figure 9 and described above. Thus, each server in the network can have its own user-configured list of alerts.

In addition, one embodiment of the present invention allows the user to add or delete one or more alert notifications for all servers at once by clicking in the "All Servers" box 432 and clicking on the "Add Notification" button 436 or the "Delete Notification" button 438. Similarly, the user can add or delete all alert notifications for one or more servers by clicking on the "All Alerts" box 434 and clicking on the "Add Notification" button 436 or the "Delete Notification" button 438.

After the user finishes configuring the server or servers, the user can go back to the Network Map Window 302 by clicking on the "Close" button 440 or the "X" 402 at the top right corner of the Alert Manager Window 400.

#### Incoming Alert

In general, when an alert is generated as shown in a block 1100 of Figure 11, the SNMP agent 128 may send two pieces of information in a protocol data unit to the SNMP manager 108 (Figure 1): (1) the trap type and (2) the number or name of the individual device where the trap was detected. This is shown in Figure 11 as block 1102. The SNMP manager 108 automatically knows which server 136 generated the

alert because in any SNMP communication the source address and destination address are part of the message.

The SNMP manager 108 may later go back to the SNMP agent 128 to find other information related to the failed device or environment condition, but this is a separate transaction from the completed alert message.

Specifically, the WinSNMP Library 112 (Figures 1) receives the alert from the SNMP agent 128 in block 1102. In block 1104, the WinSNMP Library 112 notifies the SNMP Window Module 206. In block 1106, the SNMP Window Module 206 notifies the SNMP Module 204. In block 1108, the SNMP Module 204 in turn goes to the Alert Manager Module 202 and finds the Server Alert Module 214 associated with the server 136 that generated the alert. In block 1110, the SNMP Module 204 looks for the server name and alert type in the Alert Manager Table 1002 shown in Figure 10. In block 1114, if the alert type for that particular server is set on notify user, then the SNMP Module 204 retrieves the alert message associated with that particular alert type. These alert messages are listed in Figure 4B. In block 116, the Alert Manager Module 202 then calls the Alert Flash Dialog Module 218 and displays the Alert Notification Window as shown in Figure 5.

In one embodiment of the invention, the Alert Manager Module 202 temporarily stores the data related to an incoming alert. This data may include the name of the server, the alert type, the time and date of the alert, the description of the alert type, and other details. The details may contain a recommended course of action for the user. The data related to an incoming alert may be displayed in an alert notification window by the Alert Flash Dialog Module 218. In one embodiment of the invention, the alert notification dialog box is called the Alert Notification Window 500. This is shown in Figure 5. The Alert Notification Window 500 displays the name of the server 504, the date and time of the alert detected 506, a description of the alert type 510, and details of the alert 514. The Alert Notification Window 218 remains on the user's desktop until the user clicks on the "Close" button 508 or the "X" 502 at the top.

The Alert Manager Module 202 also sends the alert information to the Log Manager Module 224 (Figure 2) which creates or adds a log entry for that alert using the Log Windows Entries Module 220, as shown in blocks 1118-1120 of Figure 11.

Figure 12 illustrates the module-level process of how the user opens the Log Window 600 shown in Figure 6. The user may open the Log Window 600 the same way the user opens the Alert Manager Window 400 as described above. In one embodiment of the present invention, the user can pull down the "Window" menu 304 from the top of the desktop 300 (Figure 3) and select the "Log Window" menu item 306, as shown in block 1200. In block 1202, the Network Map Window Module 212 calls the Log Manager Module 224. In blocks 1204 and 1206, the Log Manager Module 224 then calls the Log Manager Window Module 222 and the Log Window Entries Module 220 to display the Log Window 600 as shown in Figure 6.

The Log Window 600 displays the server names 602, the alert log entry numbers 604, the dates and times of the alerts 606, the sources of the alerts 608, the category of the alerts 610, the descriptions of the alert types 612, and details of the alerts 614. The user can scroll up or down in the log file with the scroll button 616. In one embodiment of the invention, the text associated with each type of alert is hardcoded in the application itself, such as Maestro Central 107.

Advantages of One Embodiment of the Present Invention

One advantage of an embodiment of the present invention is that it avoids unwanted disruptions. This is particularly important in time-critical server management applications. The network administrator may not want to be interrupted in his or her present task every time an SNMP agent 128 detects a minor malfunction or environment change in the server. One embodiment of the present invention allows the user to select the alerts he or she personally believes are important.

For example, a first-time user may wish to view all types of alert notifications because he or she is unfamiliar with the network, the server type, the individual server components or the server manager software. This may be time-consuming, but a first-time user would rather be safe.

On the other hand, a more experienced network administrator may only want to view two or three types of alerts that he or she feels are significant. For instance, in one embodiment of the present invention, the system board fans of the server have at least twice the cooling capacity, which means if one fan ceases to operate another fan can handle the extra load. A more experienced user may wish to see minor malfunctions, such as fan failures, stored in the log file at the end of the week or when he or she has time. This eliminates unwanted disruptions to the administrator's present work.

Another advantage of one embodiment of the claimed invention is its capability to quickly configure a customized list of alert notifications for each server in the network. For example, the user can delete the Adapter Alert 414 and the Canister Alert 416 notifications for one server and delete the Power Supply Alert 424, the Fan Alert 422, and the Temperature Sensor Alert 428 notifications for another server. Thus, each server in the network can have its own user-configured list of alerts. This can be very useful if each server has a different environment or purpose in which only certain alert notifications are important and not others.

Furthermore, the user can do this in one window, the Alert Manager Window 400, at one time without opening and reopening this window. The Alert Manager Window 400 preferably does not close when the user finishes deleting or adding alert

notifications. It closes only when the user decides to close it. This saves time and reduces the probability of mistakes.

Another advantage of one embodiment of the present invention is that it saves the network administrator time to look up the type of alert generated. One embodiment of invention creates and displays a dialog box that automatically appears on the user's screen when an alert is received by the server manager. The user does not need to go looking for the alert by opening a dialog box or pulling down a menu item. One embodiment of invention gives the user data about the alert such as a full description of the alert, the time and date it was detected, and further instructions on what to do and the like.

Another advantage of one embodiment of the present invention is that by only sending user-selected alerts, it saves valuable bandwidth on the network. A major goal of network servers and system managers today is to reduce traffic packets on the network. In one embodiment of the invention, the explanatory text for each type of alert and other instructions is hardcoded into the SNMP manager 108 software. In this embodiment, the only message that the SNMP agent 128 sends is an identifier telling the SNMP manager 108 the type of alert and which server generated the alert. This further reduces traffic bottlenecks on the network and improves response times.

Another advantage of one embodiment of the present invention is that it facilitates removing and adding server components such as PCI boards without shutting down the whole server system (also known as HotPlug and HotAdd).